

PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/911,683
Filing Date	July 24, 2001
Confirmation Number	4375
First Named Inventor	Gerrit Klaerner
Group Art Unit	1641
Examiner Name	Mary Ceperley
Attorney Docket No.	SMX 3093.3(99-100D1)

Sheet 1 of 1

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
MEC	167	EP	1 095 711	A2	Novartis AG	05-02-2001	
MEC	168	WO	96/00251	A1	The Dow Chemical Company	01-04-1996	
MEC	169	WO	00/65352	A1	Eidgenossisch Technische Hochschule Zurich	11-02-2000	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
MEC	170	INGALL et al. "Surface Functionalization with Polymer and Block Copolymer Films Using Organometallic Initiators" J. Am. Chem. Soc., Vol. 122, No. 32 (2000) pp. 7845-7846.	
MEC	171	International Search Report for analogous application No. PCT/US02/00746 dated April 29, 2003.	

Examiner Signature	Mary E. Ceperley	Date Considered	02/05/04
-----------------------	------------------	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.